



07-10-00

**In the United States Patent and Trademark Office**



Applicant(s): Advantrix Corporation

Title: "Improved Method for Communication with Real-time Remote Devices over Wide-area Communications Networks"

Serial No.:

Docket No.  
ADV2-D60

**Patent Application Cover Sheet**

HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

Sir:

Please file the following enclosed patent application papers:

Specification, Claims and Abstract: No. of Sheets 19

8 Sheets of Formal Drawing.

Declaration.

Assignment

Small Entity Declaration Of Inventor(s)

Express Mail Certificate

Return Receipt Postcard.

A check in the amount of \$ 385.00 to cover the filing fee as calculated below:

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR:	# FILED	# EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$ 345.00		\$ 760.00
TOTAL CLAIMS	-20 =	0	x \$ 11.00	\$ .00	x \$ 22.00	\$ .00
INDEPENDENT CLAIMS	-3 =	0	x \$ 40.00	\$ .00	x \$ 80.00	\$ .00
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$ 130.00	\$ .00	+ \$ 260.00	\$ .00
			TOTAL	\$ 345.00	TOTAL	\$ 00

Very respectfully,

Karl M. Steins  
Registration No. 40,186  
Steins & Associates

**In the United States Patent and Trademark Office**

Applicant(s): Advantrix Corporation

Title: "Improved Method for Communication with Real-time Remote Devices over Wide-area Communications Networks"

Serial No.:

Docket No.  
ADV2-D60**Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c)) -- Small Business Concern**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: Advantrix CorporationADDRESS OF SMALL BUSINESS CONCERN: 618 Enos Court  
Santa Clara, CA 95051

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the application identified above.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

6/5/00

Date

Richard Lu

~~Lars Karlsson~~ Richard Lu  
President  
Advantrix Corporation  
618 Enos Court  
Santa Clara, CA 95051  
(408) 249-7300

PATENT APPLICATION

ADV2-D60

**IMPROVED METHOD FOR COMMUNICATION WITH REAL-TIME  
REMOTE DEVICES OVER WIDE-AREA COMMUNICATIONS  
NETWORKS**

004020631350

Inventor: Soloviev

## IMPROVED METHOD FOR COMMUNICATION WITH REAL-TIME REMOTE DEVICES OVER WIDE-AREA COMMUNICATIONS NETWORKS

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

This invention relates generally to network communications and, more specifically, to an Improved Method for Communication with Real-time Remote Devices  
5 over Wide-area Communications Networks.

#### 2. Description of Related Art

It is a common arrangement today and not limited to the manufacturing environment, for a central computing device to control physically remote devices via network  
10 communication conduits. In this arrangement, it is possible for a centralized control station to track, monitor and control a series of remote machines or devices. Many times, this arrangement works very well. If we turn to Figure 1 we can examine how one example of this arrangement might function under the conventional method.

Figure 1 depicts the conventional communication sequence between the  
15 computer and a remote computer-controlled device. As can be seen in Figure 1, a Computer 10 is in communication with a Device 12, in this case, a machine for placing stickers on Boxes 14 as they are carried through the Device 12 by a Conveyor 16. The Computer 10 communicates with the Device 12 over a Network Conduit 18 to which the Computer 10 and

Device 12 are each connected via Network Cables 20. It should be understood that the Network Conduit 18 might be a local area network, but might also be a wide area network. Below the depiction, a series of conventional commands and responses are shown. If the Computer 10 issues Command 100, directing the Device 12 to alert the Computer 10 when a

5 Box 14 is in the proper position, it can be seen that once the box is in Position 102, the Device 12 will issue the Message 104 that a box is now in position. In response, the computer would be expected to issue Command 106 for the Device 12 to apply the sticker and then inform the Computer 10 once the sticker has been applied. Upon receipt of this command, the Device 12 applies the Sticker 108 and then issues the Message 110 that the Sticker 22 has been applied.

10 This method works very well only if we assume that the functioning of the device 12 does not depend on the timely arrival of the commands from the Computer 10. It should be understood that if there is a significant delay between the occurrence of step 102 when the box is in position and the receipt of Command 106 to apply the Sticker 108, the Device 12 might apply the Sticker 22 in the wrong place on the Box 14. Furthermore, the Sticker 22

15 might not be applied to the Box 14 at all.

As can be seen, and as noted in Figure 1, the Command 100 is a non-time-dependent message (NTDM). We classify it as such, because the Device 12 does not depend upon the content of this message for its proper operation. Furthermore, the Device 12 Message 110 that the sticker is applied is also a non-time-dependent message since it is

20 simply recording the status. It should be seen however, that Commands 104 and 106 are time-dependent (TDM). We classify these messages and commands as TDM's because,

should there be a delay in their transmittal over the Network Conduit 18, the operations of the Device 12 may be severely effected.

It should be understood that most modern networks have a non-deterministic nature and therefore do not allow the devices connected to it to predict an exact delay. As such, it should be noticed that any receipt of a transmitted message over a network actually contains two general delay components. These components are an average network delay, which typically is relatively constant, and is a function of the performance specifications of the network conduit, and communications hardware and software of the computer and device. Since the average network delay is relatively constant, it can be compensated for by simply setting the Device 12 to take the delay into account.

The other component of communications delay is not as easy to manage. This component is known as random network delay, and is typically associated with random delays between data packets being sent between network devices. In the case of random network delays, there truly is little predictability, since they are caused by network productivity issues, spurious delays, or loading issues, among others. To solve this problem, what is necessary is to reallocate or redistribute the decision-making process between the Computer 10 and the Device 12. If we look at Figure 2, we can examine how this might be done.

Figure 2 is the depiction of the system of Figure 1 operating under the improved method of the present invention. As can be seen in Figure 2, the initial command from the Computer 10, 112 is for Device 12 to apply a sticker to a box that has been positioned and then inform the Computer 10 once this has been completed. In response, once

the box is in Position 102, the Device 12 applies a Sticker 108 and then issues the Non-Time-dependent Message 110, that the sticker has been applied. By redistributing this decision making process, it can be seen that both Messages 110 and 112 are non-time-dependent, and therefore network delays would not effect the operations of the Device 12. If we now turn to  
5 Figure 3, we can study how the logic system for the control system of Figure 1 is arranged.

Figure 3 is a depiction of the conventional driver systems of the computer and device of Figures 1 and 2. As can be seen here, within the Computer 10 and as it applies to the Device 12, one will find a Computer Resident Device Driver System 24. Similarly, within the Device 12, there will be contained a Device Resident Driver System 26. Within  
10 the Computer Resident Device Driver System 24, among other things, will be found a series of Non-Time-dependent Commands 28 and Time-dependent Commands 30, as discussed above in connection with Figures 1 and 2. Similarly, with the Device Resident Driver System 26, there will be found a series of Non-Time-dependent Responses 32 as well as Time Dependent Responses 34. What is needed is a system depicted by Figure 4, discussed below.

SUMMARY OF THE INVENTION

In light of the aforementioned problems associated with the prior devices, systems and methods it is an object of the present invention to provide an Improved Method for Communication with Real-time Remote Devices over Wide-area Communications Networks.

- 5 It is a further object that the method and system provide the remote device with local control for Time-Dependent-Responses, while limiting those communications transmitted over the network to those of the Non-Time-Dependent type. It is still a further object that the method and device provide a local emulation of the controlling computer to the remote device, and a local emulation of the remote device to the controlling computer and any other devices
- 10 monitoring the remote device. It is yet another object that these emulations be provided within either the computer and device themselves (as software), or within discrete, stand-alone devices ("remoting devices"). It is still another object that these emulations compensate for communications delays and or errors by maintaining a calculated image of the remote device or controlling computer.



BRIEF DESCRIPTION OF THE DRAWINGS

The objects and features of the present invention, which are believed to be novel, are set forth with particularity in the appended claims. The present invention, both as to its organization and manner of operation, together with further objects and advantages, may best be understood by reference to the following description, taken in connection with the accompanying drawings, of which:

Figure 1 depicts the conventional communication sequence between the computer and a remote computer controlled device;

Figure 2 is the depiction of the system of Figure 1 operating under the improved method of the present invention;

Figure 3 is a depiction of the conventional driver systems of the computer and device of Figures 1 and 2;

Figure 4 depicts the improved device driver systems of the present invention;

Figure 5 depicts a conventional communications sequence between a computer and a direct-connect device;

Figure 6 depicts the communications sequence between a conventional computer and a direct-connect device in communication over a network conduit;

Figure 7 depicts the system of Figure 6 further including the remoting system devices of the present invention; and

Figure 8 depiction of the improved communication method of the present invention.

DETAILED DESCRIPTION  
OF THE PREFERRED EMBODIMENTS

The following description is provided to enable any person skilled in the art to make and use the invention and sets forth the best modes contemplated by the inventor of carrying out his invention. Various modifications, however, will remain readily apparent to those skilled in the art, since the generic principles of the present invention have been defined herein specifically to provide an Improved Method for Communication with Real-time Remote Devices over Wide-area Communications Networks.

The present invention can best be understood by initial consideration of Figure 4. Figure 4 depicts the improved device driver systems of the present invention. As can be seen in Figure 4, the Time Dependent Commands 30 of the Computer Resident Device Driver System 24, have been partially transferred to the Device Resident Driver System 26. In such a manner, the Device 12 will be capable of issuing Time Dependent Commands and thereafter, performing Time Dependent Responses 34 without being effected by network delays. If we now turn to Figure 5, we can begin to explore the present invention in more detail.

Figure 5 depicts a conventional communications sequence between a computer and a direct-connect device. As can be seen here, Computer 10 is connected to a conventional Direct Connect Device 36 (in this case, a digital video camera). The Direct Connect Device 36, is configured to communicate with the Computer 10 by a Direct Conduit 38, such as a USB cable connection. When connected directly as shown, Computer 10 uses

Command 112 for Device 36 to start sending data. In response, the Device 36 begins transmitting data packages, for example, data packages one through 5 over the Direct Conduit 38. In order to correctly display the data packages, Computer 10 must issue read commands for each data package. In the depicted arrangement, the system works very well since a direct connection will result in virtually no delay, and the arrival of the data packages is therefore very predictable. If we now turn to Figure 6, however, we can see what typically occurs when a Direct Connect Device 36 is connected to a Computer 10 over a Network Conduit 18, as might be desired.

Figure 6 depicts the communications sequence between a conventional computer and a direct-connect device in communication over a network conduit. Computer 10 and Direct Connect Device 36 are connected to the Conduit 18 via Network Cables 40 and Network Ports 42. The problem with this arrangement is depicted below the drawing. As can be seen, the Computer 10 issues a Command 112 for the Device 36 to start sending data. In response, the Device 36 begins sending data packages as shown. At the appropriate time, the Computer 10 issues the appropriate read request in time to receive each data package. In this case however, if a Delay 114 occurs in receipt of the data packages, the Read Request 3 will no longer be timed correctly with the arriving data packages. As a result, the displayed video at the Computer 10 will be broken, choppy, and generally undesirable. If we turn to Figure 7, we can see how the method and system of the present invention will alleviate this problem.

Figure 7 depicts the system of Figure 6, further including the remoting system devices of the present invention. As can be seen in Figure 7, the Computer 10 and Direct Connect Device 36 each now attach to the conduit 18 through the Remoting Systems. In particular, Computer 10 connects to a Computer Connected Remoting System (CCRS) 44, by  
5 a Direct Conduit 38 such as a USB cable (or even wireless means), after which the CCRS 44 attaches to the network conduit 18 via Network Cable 40 and Portal 42. Similarly, the Device 36 is connected by Direct Conduit 38 to a Device Connected Remoting Systems (DCRS) 46. The DCRS 46 is then connected to the Conduit 18 via Network Portal 42 and Cable 40. Each Remoting System 44 and 46 provide an emulation of the remote device to the device  
10 connected directly to the Remoting Systems 44 and 46. Specifically, the CCRS 44 has an emulation of the Device 36. This Device Emulation 48 provides a constant presentation to the Computer 10 of the input from the Device 36. In the event that data errors or delays occur, Device Emulation 48 will maintain a display (from the computer's perspective) of its previous data, such that the Computer 10 will be unaware of any problem. Similarly, the  
15 DCRS 46 provides the emulation of Computer 10 to the Remote Device 36. This Computer Emulation 50 acts in a way to ensure that any time dependent messages are issued by the Emulation 50 that is resident within the DCRS 46, rather than having the requirement for these TDM's to be transmitted from the Computer 10 over the Conduit 18 to the Device 36. In its simple form, this is depicted above in the discussion made in connection with Figure 2.

20 In order to remain transparent to the Computer 10, the CCRS 44 will translate the device state changes that the DCRS 46 sends over the network and will apply those state changes to the Device Emulation 48. Thereafter, all data sent to the Device 36 will be

“executed” locally by the Emulation 48. At the same time, if the update needs to be sent to the actual Device 36, the CCRS 44 will generate the appropriate message (in response to command by the Computer 10) that will then appear to emanate from the Computer Emulation 50, enabling very quick response times when necessary. The result of the  
5 inclusion of these remoting systems is depicted by Figure 8.

Figure 8 depicts a theoretical communication sequence between the Computer 10 and Remote Device 36 of Figures 6 and 7, as the process might unfold with the inclusion of the preferred remoting devices of the present invention. Again here, a “Start” command is given by the Computer 10, which is transmitted by the CCRS 44 over the Network Conduit  
10 18 to the DCRS 46. The DCRS applies the command to the Computer Emulation 50, such that, from the Remote Device’s 36 perspective, the “Start” command is generated by the Emulation 50.

In response, the Remote Device 36 begins sending data to the Computer Emulation 50 (e.g. data(1) – (5)). At this point, the DCRS 46 forms this data into a group,  
15 and then packages or converts it in some way (such as encryption, compression, adding authentication watermarks, etc.) and transmits it to the CCRS 44. The CCRS 44 processes the data group (i.e. explodes, decrypts, authenticates it, etc.), and applies the data to the Device Emulation 48. The CCRS 44 then begins sending data(1) – (5) to the Computer 10 (to the Computer 10, it appears to originate at the Emulation 48).

20 The Computer 10 generates read requests as the data arrives, just as with the direct-connect arrangement shown above in Figure 6. The difference here, is significant,

however – when there is a delay in receiving data after data(5) has been transmitted from the Device Emulation 48 to the Computer 10, the Device Emulation 48 will continue to generate copies of the last data transmitted (here that is data(5)), until new data has been received and processed by the CCRS 44. Furthermore, other ways of “filling the holes” in received data  
5 might be employed by the system and method, such as creating an emulation that is based on a predicted or calculated state, or even some pre-set “home” state, among others; in any event, the created Emulation 48 might be more than just a simple copy of the last “good” data. Since the Computer 10 has “seen” no break in data flow, there are no interruptions, breaks or other visibly erratic behavior with the display of the received data. Essentially, the CCRS 44  
10 has “smoothed out” the signal.

In this improved model, performance of the network only affects the speed of “synchronization” between the Device Emulation 48 and the Device 36 itself, and between the Computer Emulation 50 and the Computer 10 itself. In the event that communications quality becomes degraded, the Emulations 48 and 50 will simply be updated less often (which  
15 will be transparent to the connected Device 36 or Computer 10, respectively).

Another benefit of communicating with the Device 36 and Computer 10 through the Remoting Systems 44 and 46, is the ability to distribute parts of the driver set to the Computer 10 and Remote Device 36 respective Emulations 46 and 44. Specifically, many TDM’s can be transferred to the Emulations (rather than the Computer or Remote Device),  
20 such that the benefits discussed above in connection with Figure 2 will be obtained., namely the reduction of the impact of random network delays on the remote operation of the Remote

Device 36. In such a scenario, the CCRS 44 will send only asynchronous, non-time-dependent commands to the CDRS 46 (and therefore the Remote Device 36), along with instructions on how to react in the event that a time-dependent event occurs.

Other benefits include: reducing the bandwidth of the transmitted data by  
5 compressing the data at the DCRS 46 (can optimize transfer speed); dynamic adjustment in  
compression type and format in response to detected network delays and stability (the  
Remoting Systems will be able to detect changes, can communicate them to one another, and  
then responsively adjust); and the compression can be application-specific – certain  
compression types might be chosen responsively to the nature of the content being transmitted  
10 by a particular software application. Still further, there is opportunity to share Remote  
Devices 36 with several different users. Each Computer would have its own CCRS 44  
connected to it, and therefore each Computer 10 would “see” a Device Emulation 48. As  
such, all Computers 10 would be receiving “smooth” data – it is a simple matter of managing  
which Computer 10 or Computers has(ve) the ability to control the Computer Emulation 50  
15 (and therefore the Remote Device 36).

The reader should certainly understand that another preferred embodiment of  
the method of the present invention is to place the software (or firmware) routines within the  
Computer itself, or within the Remote Device itself. In such a way, the routine would still act  
and perform the same functions as described herein, but would do so while being executed by  
20 the Computer or Remote Device themselves.

5 specifically described herein.



## CLAIMS

What Is Claimed Is:

1. An improved system for device communications, comprising:
  - at least one computer;
  - a device emulation in communication with said computer;
  - a computer emulation in communication with said device emulation; and
  - a remote device in communication with said computer emulation.
2. The system of Claim 1, wherein said computer emulation is presented to said device by a device connected remoting system, said device connected remoting system in communication with at least one computer connected remoting system; and
  - wherein said device emulation is presented to said computer by said computer connected remoting system.
3. The system of Claim 2, wherein said remoting systems further comprise:
  - data reading means for reading data transmitted to said remoting system by said remote device or said computer;
  - data grouping means for grouping said read data into groups;
  - data packaging means for packaging said groups;
  - package transmitting means for transmitting said packaged data to another said remoting system; and
  - package receiving means for receiving said packages transmitted by other said remoting systems.

4. The system of Claim 3, wherein said remoting systems further comprise processing means for processing said packaged data.
5. The system of Claim 4, wherein said remoting systems are in communication with each other over a wide area network communication system.
6. An improved method for a computer communicating with a remote device, comprising the steps of:
  - the computer communicating with a device emulation;
  - said device emulation communicating with a computer emulation; and
  - the remote device communicating with said computer emulation.
7. The method of Claim 6, wherein said computer communicating step comprises said computer communicating with a device emulation, said device emulation presented by a computer connected remoting system.
8. The method of Claim 7, wherein said remote device communicating step comprises said remote device communicating with a computer emulation, said computer emulation presented by a device connected remoting system.
9. The method of Claim 8, wherein said device emulation communicating with said computer emulation step comprises:
  - said device connected remoting system grouping, packaging and transmitting data to said computer connected remoting system; and
  - said computer connected remoting system receiving said grouped, packaged and transmitted data and responsively processing and transmitting said data to said device emulation.
10. The method of Claim 9, wherein said data packaging and transmitting steps are responsive to the quality of said communications between said remoting systems.

means for communicating with said at least one computer;

computer emulation means for emulating a said computer's communications with the electronic device, said computer emulation means in communication with said electronic device.

device resident driver set means, defined by non-time-dependent responses, time-dependent responses and time-dependent commands, said time-dependent commands of the type conventionally residing in a computer resident driver set means.

data reading means for reading data transmitted to said device by said electronic device;

data grouping means for grouping said read data into groups;

data packaging means for packaging said groups;

package transmitting means for transmitting said packaged data to another said device; and

package receiving means for receiving said packages transmitted by other said devices.

**14.** The device of Claim 13, wherein:

said data packaging means comprises compressing said groups; and

**15.** The device of Claim 14, further comprising:

wherein said grouping means and said packaging means are responsive to said transmission performance sensor means.

ABSTRACT OF THE DISCLOSURE

An Improved Method for Communication with Real-time Remote Devices over Wide-area Communications Networks is disclosed. Also disclosed is a method and system that provides the remote device with local (non-networked) control for Time-Dependent-Responses, while limiting those communications transmitted over the network to those of the Non-Time-Dependent type. The method and device provide a local emulation of the controlling computer to the remote device, and a local emulation of the remote device to the controlling computer and any other devices monitoring the remote device. The emulations may be provided within either the computer and device themselves (as software), or within discrete, stand-alone devices ("remoting devices"). The emulations can compensate for communications delays and or errors by maintaining a calculated image of the remote device or controlling computer.

2025 RELEASE UNDER E.O. 14176

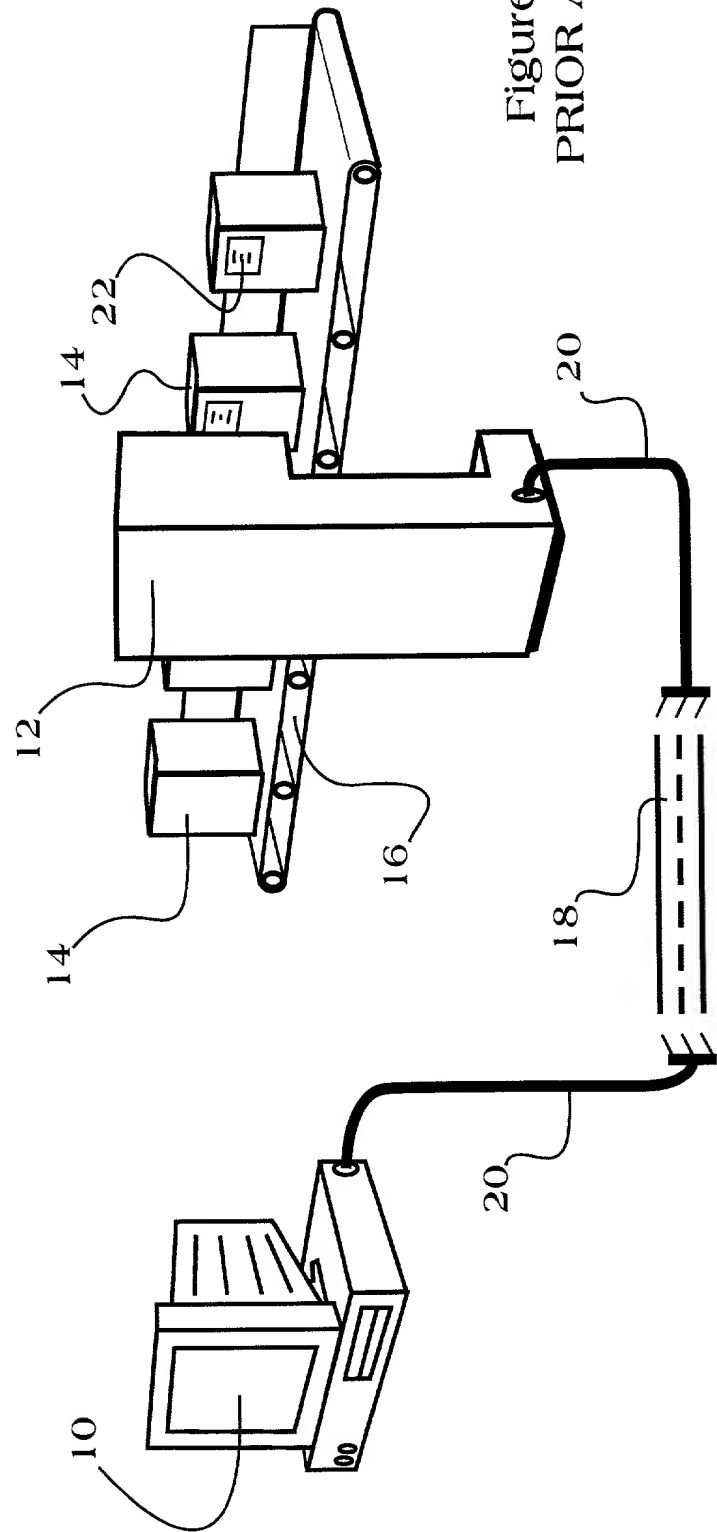
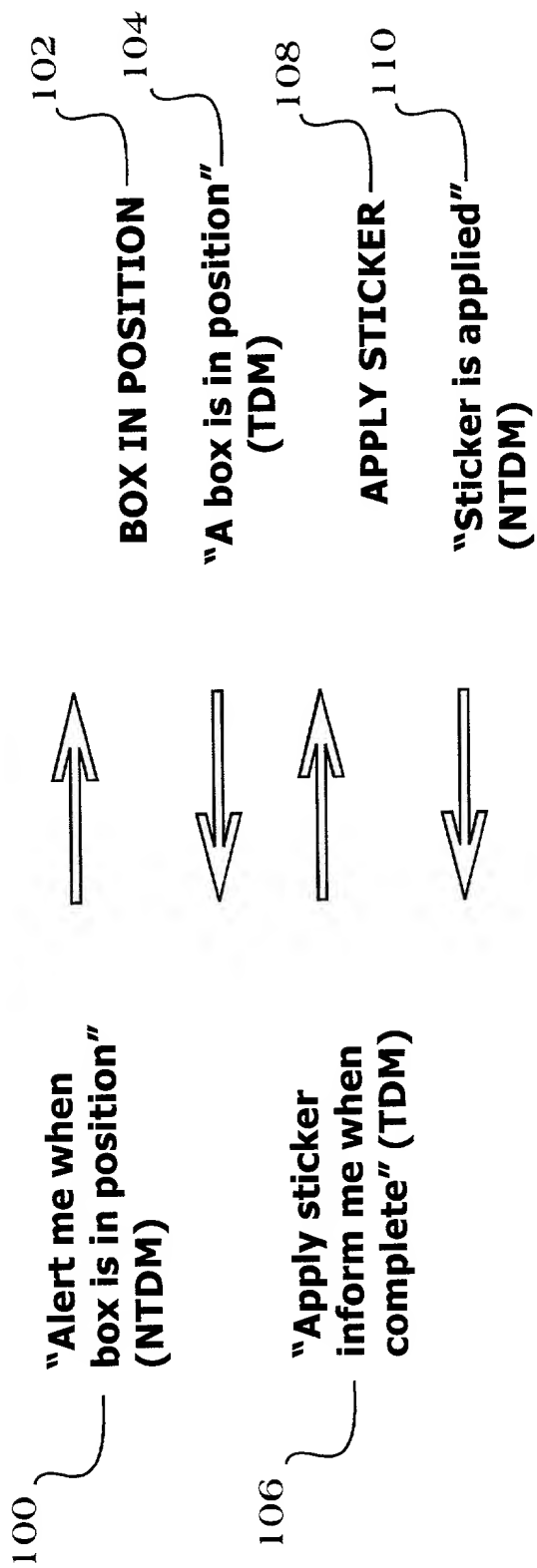


Figure 1  
PRIOR ART



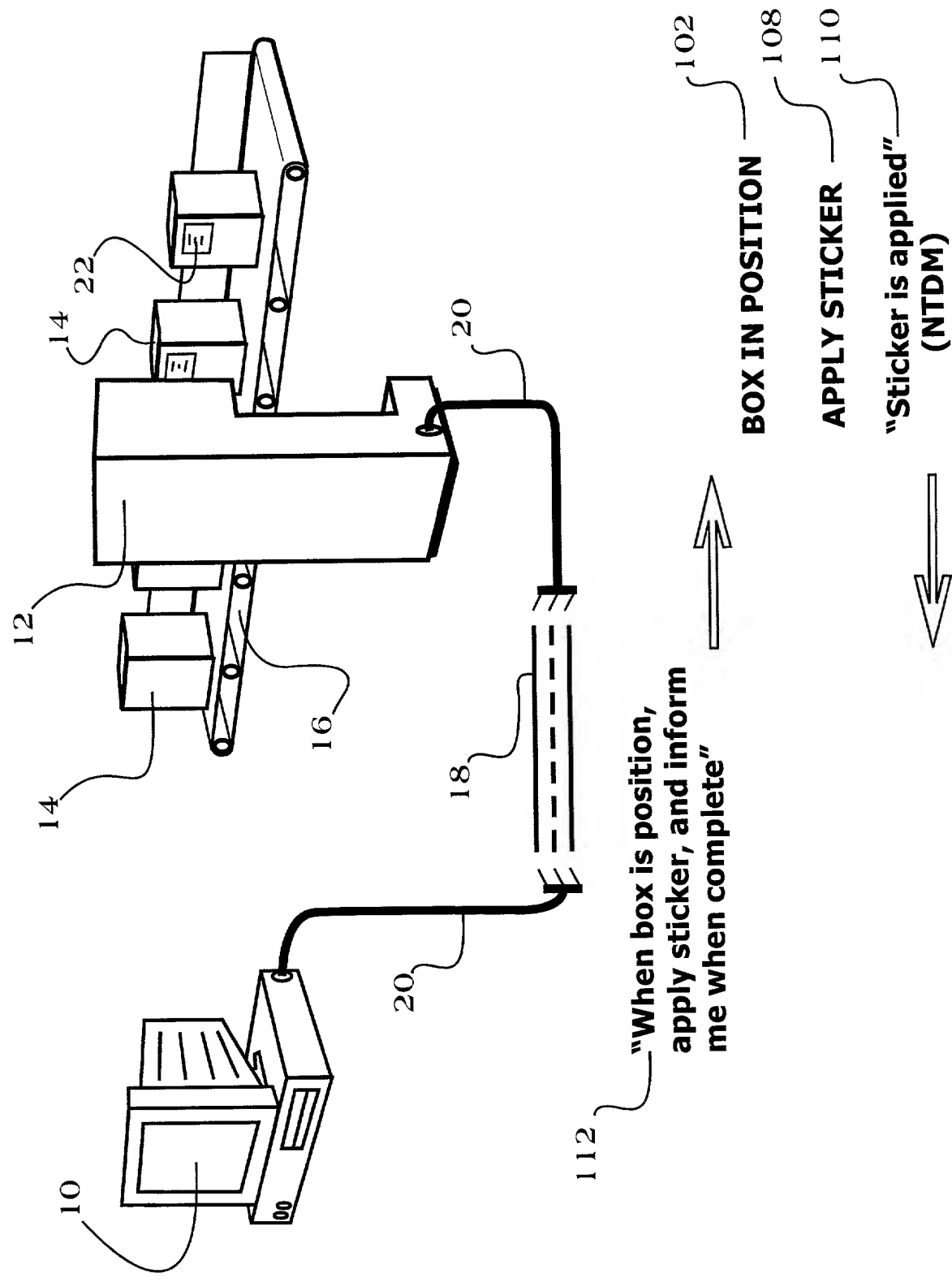
[illegible]

Figure 2





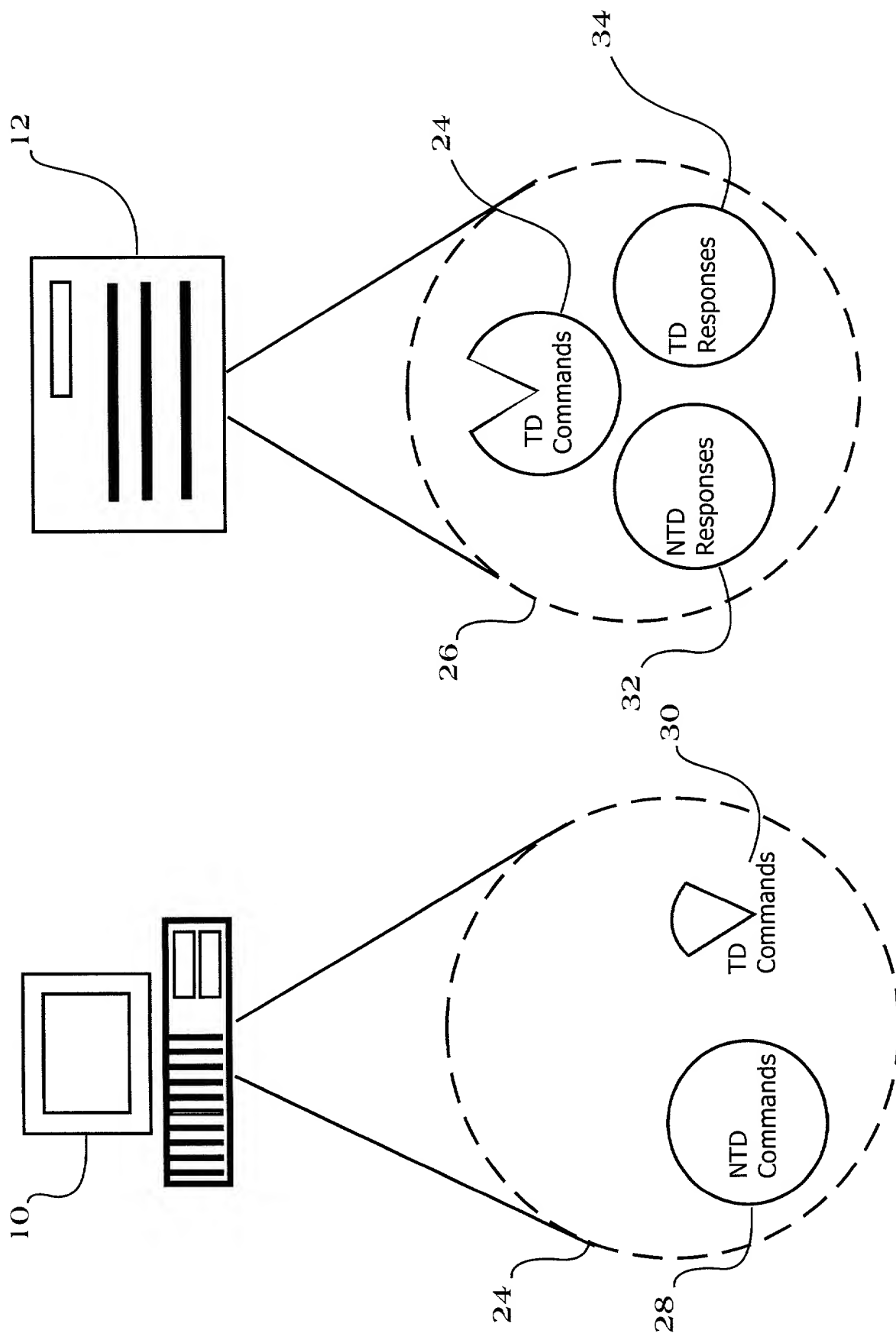


Figure 4

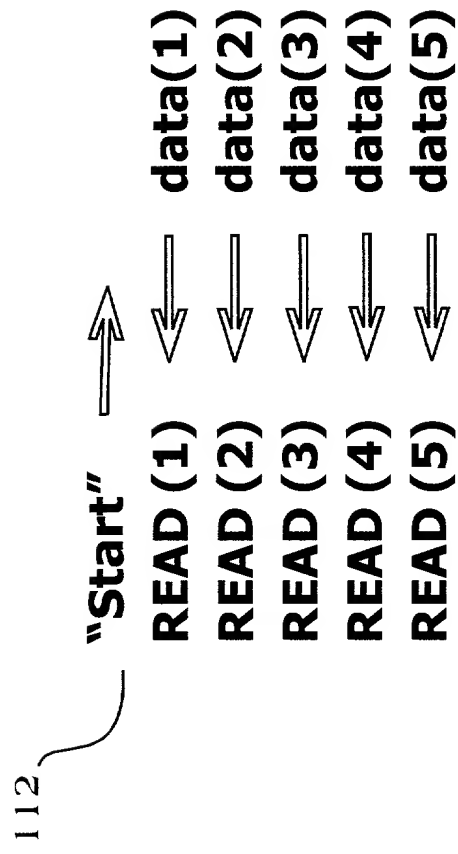
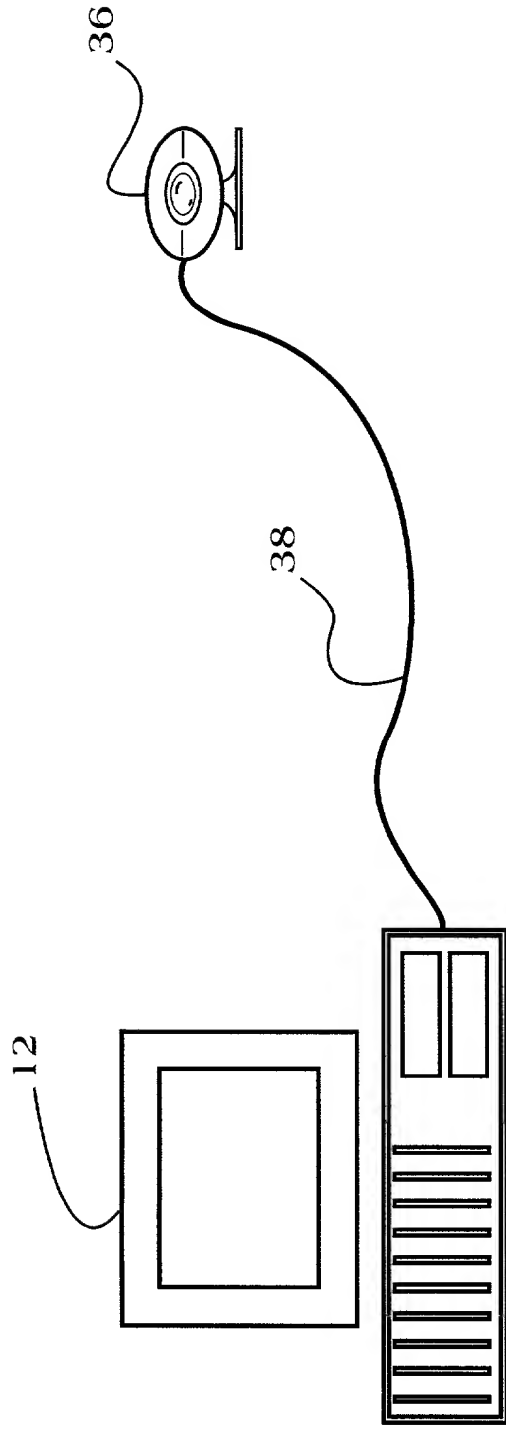


Figure 5



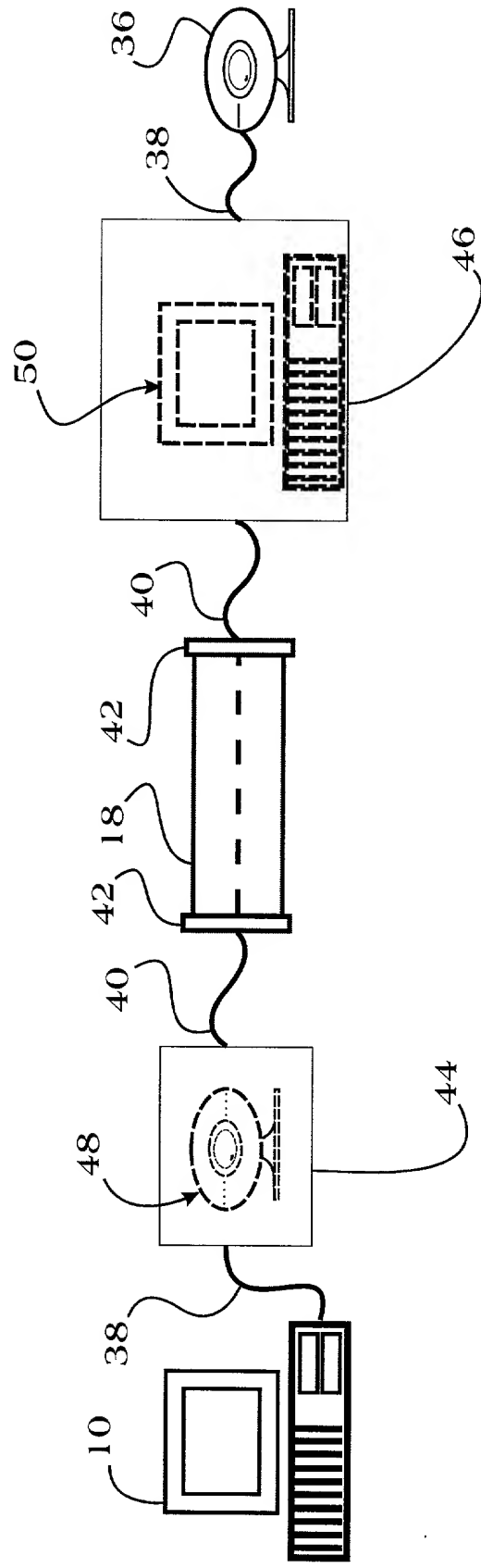


Figure 7

10

48

18

50

36

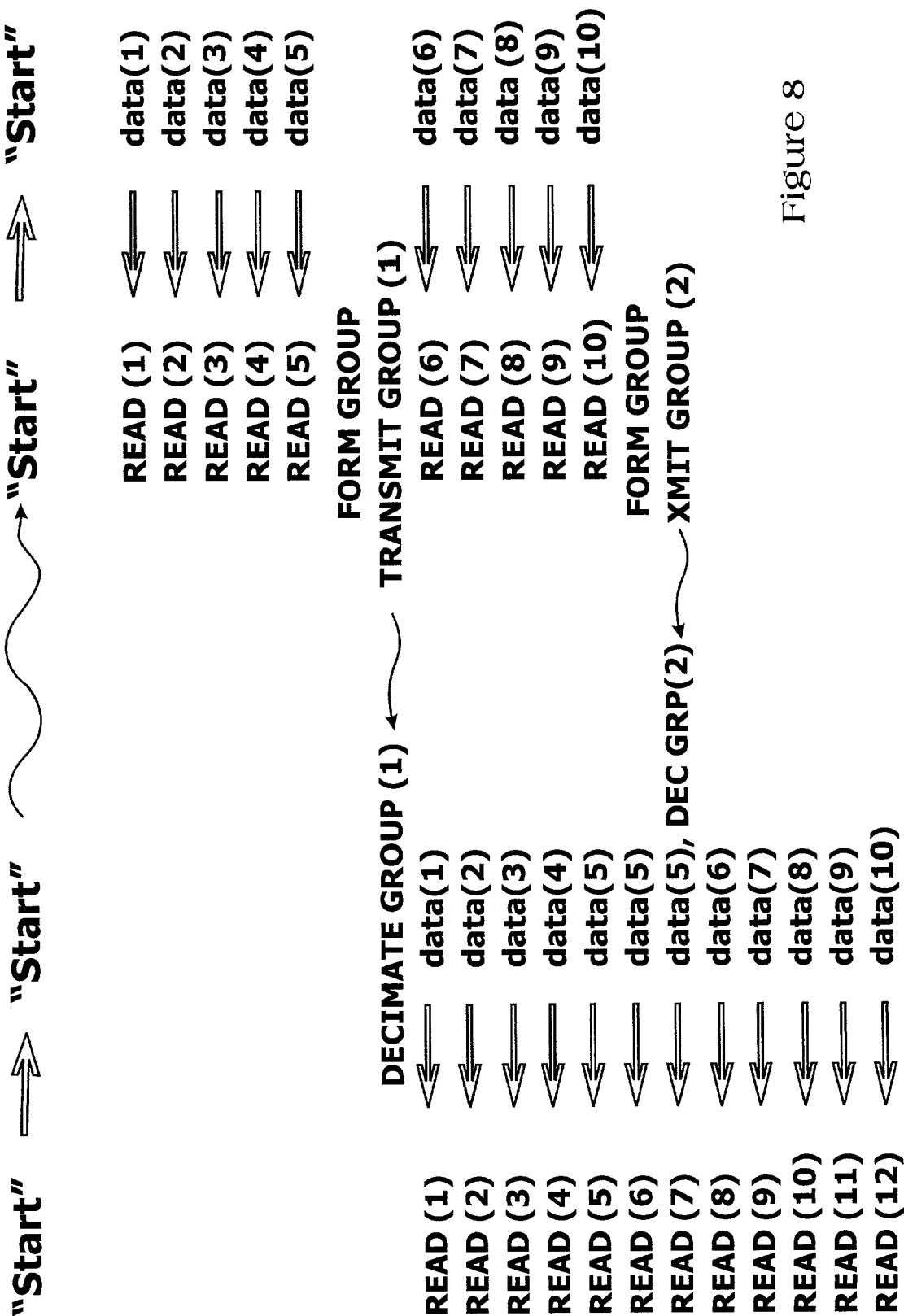
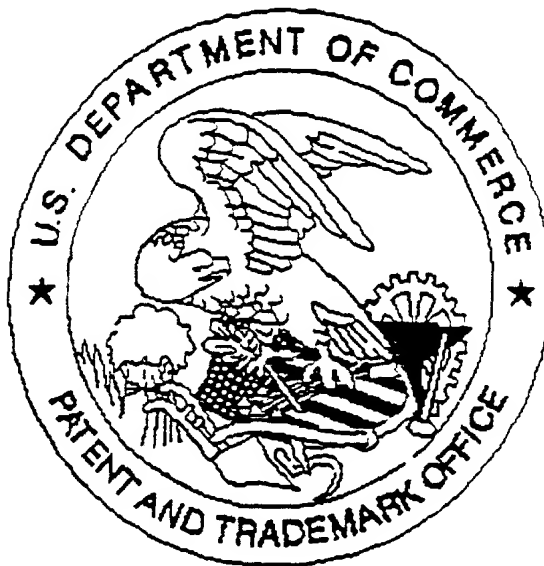


Figure 8

United States Patent & Trademark Office  
Office of Initial Patent Examination -- Scanning Division



Application deficiencies were found during scanning:

☐ Page(s) 19 of 19 of Specification were not present  
for scanning. (Document title)

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☐ Scanned copy is best available.